



DRW  
#

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Taiji EMA et al.

Group Art Unit: 2811

Serial No.: 10/797,183

Examiner: Cuong Quang Nguyen

Filed: March 11, 2004

Confirmation No.: 4954

For: SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE  
SAME

Attorney Docket Number: 960045D

Customer Number: 38834

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. 1.97(c)(2)**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Date: April 19, 2005

Sir:

Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached PTO/SB/08. A copy of each non-U.S. document is attached.

This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry of the national stage for the above-captioned application, but prior to issuance of either a final official action or a Notice of Allowance. A check is attached hereto which covers the \$180.00 fee set forth in 37 C.F.R. §1.17(p).

04/20/2005 HALLI11 00000131 10797183

01-FC:1806

180.00 OF

Information Disclosure Statement under 1.97(c)(2)  
Attorney Docket No. 960045D  
Serial No. 10/797,183

If there are any fees due in connection with the filing of this paper, please charge Deposit

Account No. 50-2866.

Respectfully submitted,

**WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP**



Stephen G. Adrian

Registration No. 32,878  
Telephone: (202) 822-1100  
Facsimile: (202) 822-1111

SGA/II

Enclosures:

PTO/SB/08  
12 Documents  
Check for \$180.00

Substitute for Form 1449 A & B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	10/797,183
Confirmation Number	4954
Filing Date	March 11, 2004
First Named Inventor	Taiji EMA
Art Unit	2811
Examiner Name	Cuong Quang Nguyen
Attorney Docket Number	960045D

Sheet

of

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
	1	JP	3-64964		03-20-1991	Toshiba Corp.	Abstract
	2	JP	3-174765		07-29-1991	OKI Electric Ind.	Abstract
	3	JP	3-174766		07-29-1991	Toshiba Corp.	Abstract
	4	JP	5-243517		09-21-1993	NEC Corp.	Abstract
	5	JP	5-136369		06-01-1993	Sharp Corp.	Abstract
	6	JP	5-145036		06-11-1993	Toshiba Corp.	Abstract
	7	JP	5-218332		08-27-1993	Samsung Electron Co. Ltd.	Abstract
	8	JP	5-304269		11-16-1993	NEC Corp.	Abstract
	9	JP	6-37272		02-10-1994	Toshiba Corp.	Abstract
	10	JP	6-37273		02-10-1994	Toshiba Corp.	Abstract
	11	JP	6-37280		02-10-1994	Toshiba Corp.	Abstract
	12	JP	6-120447		04-28-1994	Mitsubishi Electric Corp.	Abstract

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.